



January 18 - 20

ATTENDEE BROCHURE

REGIONAL: 2017 San Diego Anti-Money Laundering SEMINARS

2-Day
Casino AML Seminar
Jan 18-19


1-Day
Table Games/Card Rooms AML Seminar
Jan 20

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REGIONAL SAN DIEGO ANTI-MONEY
LAUNDERING SEMINAR

 Hilton San Diego Airport/Harbor Island
1960 Harbor Island Dr.
San Diego, CA 92101

 www.casinoessentials.com
Orlanda@casinoessentials.com
twitter.com/casinoessential



Join us on January 18 - 20, 2017

Learn about the latest Title 31 updates and news provided by FinCEN and the IRS. This information will assist you in gaining a better understanding of what the IRS is expecting from your property and prepare you for reporting changes and IRS audit. Attendee participation is highly recommended during this conference.

Who should attend?

Compliance Officers, Title 31 Staff, Audit and Compliance, Operational Managers and Supervisors that interact with customers, HR Personnel, Slots, Table Games, Poker and Cage Management, Cage Staff, Revenue Audit, Casino Accounting, Directors, Executives, Gaming Commissioners, Marketing Management, Gaming Supervisory Staff, Tribal Members, Tribal Interns, Security and Surveillance Management, and anyone in an ownership position or responsible for Title 31 tracking or reporting of Suspicious Activities. This is a Casino personnel only event. Not meant for vendor attendance.

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January 18-19

Casino AML Seminar

\$595.00*

2 day Seminar Package Includes:

- ▶ Breakfast & Lunch
- ▶ Access to all San Diego AML sessions
- ▶ 2017 Training Materials

Register Now

January 20

Table Games/Card Room AML Seminar

\$350.00*

1 day Seminar Package Includes:

- ▶ Breakfast & Lunch
- ▶ Access to all Card Club and Table Games sessions
- ▶ 2017 Training Materials

Register Now

January 18-20

Both Seminars

\$945.00*

3 day Seminar Package Includes:

- ▶ Breakfast & Lunch
- ▶ Access to all sessions
- ▶ 2017 Training Materials

Register Now

Register Today!

Contact:

Orlanda Vance

Phone:

877-811-3534 X106

Fax:

619-202-7725

Email:

Orlanda@Casinoessentials.com

Program Level

Intermediate and Advanced: This course is recommended for gaming personnel that have basic Anti-Money Laundering (AML) knowledge. Most gaming personnel are considered to have basic AML knowledge when they have worked a minimum of one year in the industry with the regulation outside of the industry and two years AML regulatory experience.



CASINO AML 2-DAY AGENDA

Day 1: January 18

8:00a - 9:00a
Registration & Continental Breakfast

9:00a - 10:30a
Opening Comments, Introductions & AML Updates

In this session we will review recent regulatory announcements and news related to the casino industry including topics updates on culture of compliance, compliance, source of funds update, recent regulatory action overview, criminal investigations updates, the marijuana dilemma, IRS Hot Exam Trends, and other important announcements.

Break

10:45a - 12:00p
Comprehensive AML Program: Minimum Requirements to Ensure Compliance

A casino AML compliance program is not "one size fits all", or is it? All financial institutions, including casinos, must have a comprehensive

compliance program. In this session, we will examine the minimum requirements and differences between large and small casinos. How much should you budget to ensure compliance and what is it worth to your organization?

Lunch

1:15p - 2:15p
AML Training Program Requirements: Understanding Regulatory Expectations for Training

In this session, we will dispel the myth that every employee position must have a separate training program. Instead, we will discuss regulatory expectations for employee training requirements, and how to make the most of your casino's time and money.

Break

2:30p - 3:30p
Source of Funds Program Overview

In this session, we will provide a snapshot of how the casino industry is handling the source of funds regulatory expectation, including basic program requirements.

3:30p - 5:00p

Source of Funds Program Workshop: Creating a SOF Program

In this workshop, we will take the information we learned and work as a team to create a source of funds program outline. You'll be able to bring this information back to your casino and incorporate it into your compliance program.

Day 2: January 19

8:00a - 9:00a
Registration & Continental Breakfast

9:00a - 9:30a
Source of Funds Recap and Debrief FAQs

9:30a - 10:30a
Recent Penalty Case Studies Review and Discussion FAQs

Break

10:45a - 12:00p
Law Enforcement Case Studies for SAR Data: How is SAR data used by law enforcement?

Lunch

1:15p - 2:30p
SAR Investigations: How to perform a successful SAR investigation

Break

2:45p - 3:15p
SAR Recommendations for Reporting: SAR Committee Vs. Compliance Officer

3:30p - 5:00p
SAR Writing Workshop



1-DAY TABLE GAMES/CARD ROOM AGENDA

Day 3: January 20

8:00a - 9:00a

Registration & Continental Breakfast
OFAC Overview (Optional Session)

9:00a - 9:15a

Welcome One-day Only Attendees and provide brief AML Updates Recap.

9:15a - 10:15a

Understanding Chip Walk and Structuring:
What is the Regulatory Expectation?

Break

10:30a - 11:30a

Black Peso Market Case Study

Lunch

12:45p - 2:00p

SAR Scenarios and AML Concerns for Table Games and Card Clubs:
How to Mitigate Risk of Non-compliance

In this session, we will examine common compliance findings and potential risks related to Table Games and Card Rooms.

Break

2:15p - 3:30p

AML Responsibilities:
Understanding the Impact of Non-compliance for Executives, Owners & Officers, General Counsel, and the Compliance Officer

2:45p - 3:45p

AML Compliance Expectations:
Creating Accountability among Vendors, Consultants and other 3rd Party Entities such as Junket Operators.

4:00p

Discussion Recap and FAQs





Mindy Letourneau

Casino Essentials
Managing Director

Mindy Letourneau provides educational services to the Financial and Gaming industries to ensure compliance with Federal, State and Jurisdictional regulations such as Anti-Money Laundering/BSA/ Title 31, Human Resources, and OSHA regulations. She has more than 15 years experience of BSA regulatory compliance in

the gaming and financial industry with extensive experience managing Gaming operations, with key emphasis on regulatory compliance, performance management and operational efficiencies. Mindy's ability to provide training strategies that balance operational efficiencies, profitability and compliance allows organizations to pursue their mission in a findings free environment. She holds a Master's degree from San Diego State University.

Mindy has authored several educational articles as a published writer for one of the largest magazines in the Gaming Industry: Casino Enterprise Management Magazine. She has educated casino gaming professionals across the nation and most notably the National Indian Gaming Association, Great Plains, Midwest and Oklahoma Indian Gaming Associations, several State Association professionals via AML Webinars and Casino Essentials Seminars and Webinars. In addition, Mindy provides professional consulting services for Title 31, AML and OFAC training services for casinos.

Mindy@CasinoEssentials.com

(877) 811-3534 x102



Jim F. Dowling

Dowling Advisory Group
President

Jim Dowling is the Managing Director of Dowling Advisory Group (DAG). He has more than 30 years experience in the areas of fraud, Anti-Money Laundering (AML) and risk management. His career includes extensive experience as a Special Agent with the IRS

Criminal Division, "Big Four" accounting and regulatory compliance. Jim also served as the Anti-Money Laundering Advisor to the Executive Office of the President, White House Drug Policy Office.

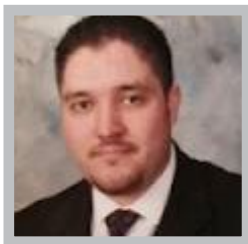
Selected experience:

- Served as an Expert assisting casinos in litigation regarding money laundering and fraud issues.
- Former member of FinCEN's Bank Secrecy Act Advisory Group ("BSAAG").
- Assisted in writing and editing the National Money Laundering Threat Assessment and National Money Laundering Strategy for the U.S. Government, which was signed by the President.
- Assisted numerous casinos that were being examined by the IRS avoid penalties.
- Provided training to the FBI, Department of Justice and State Gaming Regulators.
- Former member of the Office of Foreign Asset Control (OFAC) Kingpin/ Specially Designated National committee.

Jim has served on FinCEN's Bank Secrecy Act Advisory Group, OFAC's SDN Kingpin selection group and provided expert testimony before Congress regarding online gambling. Jim is also an Adjunct Professor at USC Marshall School of Business where he teaches Forensic Accounting in the Master's program. The Dowling Advisory Group provides casinos with expertise in conducting Independent Testing of Title 31 programs, creating and evaluating risk assessments and related programs. DAG also helps casinos mediate issues from IRS examinations and established Customer Due Diligence ("CDD") programs and programs to help casinos identify patron's "Source of Funds" through non-intrusive means.

E-mail: Jim@DowlingAdvisory.com

Phone: (626) 585-0321



Christopher G. Guerra

Blackhawk Network
Sr. Manager of AML & Fraud Investigations

Christopher has a wealth of knowledge and experience in regulatory compliance, governance and risk management. Christopher has served on the executive committee of two resorts in Nevada, assisted with the opening of a resort in Las Vegas, and developed compliance programs that have successfully passed multiple BSA Compliance Examinations across multiple industries. Selected experience:

- Indian Gaming
- State Licensed Gaming
- Depository Institutions
- Money Service Businesses (MSBs)
- Prepaid Access

Christopher is currently the Sr. Manager of AML & Fraud Prevention & Investigations in the Risk Management Department for Blackhawk Network. Blackhawk Network is a leading provider of Prepaid Access products including gift cards, VISA Gift Cards and Reloadable VISA Products.

During his years of experience working in risk and compliance, Christopher has developed and implemented risk assessments, internal controls and audit programs and quality control relating to BSA, OFAC, FACTA, PCI Compliance, Consumer Protection and Privacy and many other state and federal regulations. He has also developed new hire orientation training and semi-annual training for BSA/AML, OFAC, FACTA, Privacy, Responsible Gaming, Intoxicated Gaming, Underage Gaming and the Prevention & Reporting of the Abuse of Elderly & Vulnerable Persons.

Christopher is a regular contributor to the blogs & discussions to the Casino Title 31, AML, BSA and OFAC Group in LinkedIn creating discussions to invoke thought and inspire industry professionals to think outside the box and develop comprehensive and robust AML/OFAC Compliance Programs. Christopher has served as a compliance consultant and subject matter expert to Casino Essentials for more than three years; offering guidance and clarification in BSA and OFAC matters relating to BSA training development. Christopher is also a contractor for the Dowling Advisory Group assisting with independent testing and other projects.

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The Hilton San Diego Airport/Harbor Island is the host hotel for the 2017 Conference.



The Hilton San Diego Airport/Harbor Island Hotel in San Diego, CA is located on the scenic waterfront of Harbor Island, just minutes from downtown San Diego and San Diego International Airport/Lindbergh Field. With 211 guest rooms, our hotel exceeds the expectations of business travelers, families, and groups of all size.

Room assignments will be made directly with the hotel by booking online. Receive the group rate of \$149.00/night* for the 1 queen bed marina view or 2 double beds marina view.

*does not include occupancy fee.

Room rate:
\$165.00 (+ tax)

[BOOK A ROOM](#)

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CPE PREREQUISITE REQUIREMENTS:

This is only required if you are requesting CPE units as a CPA. Required for basic learners that have less than 6 months AML or Title 31 experience: Basic learners that do not have AML compliance are required to take the Casino Essentials online AML courses (Title 31 and SAR training) course and pass with a 70% prior to taking the AML conference. There is not an additional charge for this requirement. Contact Casino Essentials at (877) 811-3534 x102 to request online study registration. No prerequisite requirements for Intermediate or Advanced learners. This Group Live program does not require advanced preparation.

Recommended CPE Credit: 17 units

REFUND POLICY:

Requests for refunds must be received in writing by October 31, 2016. Requests made by October 31, 2016 will be subject to a \$295.00 cancellation fee and refund requests received by November 30, 2016 will be subject to a 50% cancellation fee. Refunds will not be granted after December 1, 2016.

Individuals who cancel a confirmed enrollment less than 60 calendar days before the class and fail to provide a qualified replacement to fill the enrollment will be billed for 100 percent of the full tuition fee (list price). Those who fail to appear for training as scheduled will be billed for 100 percent of the full registration fee (list price).

Casino Essentials reserves the right to reschedule or cancel any scheduled center-based training class upon ten business days notice to confirmed registrants (or upon five business days for online training classes). Registrants may then enroll in the next available offering of the course, or cancel the registration. In the event of cancellation by Casino Essentials, any payment made for the canceled class will be refunded. The client understands and agrees that Casino Essentials shall not, in any way, be held responsible for any costs, including loss of airfare or other transportation costs, hotel expenses or other damages, which the client may suffer in the event that Casino Essentials cancels or reschedules a class.

REGISTRATION:

Register Online at:
www.casinoessentials.com/events

Register By phone at (877) 811-3534 x106

Registration Price:

Regiona: San Diego AML: \$595.00

AML Card Club Seminar: \$350.00

Both Seminars: \$945.00

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COMPLAINT RESOLUTION POLICY:

If you are currently enrolled in a program or you are a former student, potential student, faculty or any other interested party and you have a concern about a CE accredited program, please read our Complaint Policy. All complaints must be written and signed upon submission. CE follows due process procedures when written and signed complaints are received alleging that they or an accredited program are not following established policies or accreditation standards. To receive formal consideration, all complaints shall be submitted in writing and signed. The complaint should demonstrate that reasonable efforts have been made to resolve the complaint, or alternatively that such efforts would be unavailing. When received by CE, complaints are transmitted within five working days to the management for consideration. CE will respond with a resolution within 15 business days and the person initiating the complaint shall be notified accordingly within 20 working days following receipt of the complaint. For more information regarding administrative policies such as complaint and refund, please contact our offices at (877) 811-3534.

REGISTRY STATEMENT:

Casino Essentials is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org.

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ABOUT CASINO ESSENTIALS

Casino Essentials is the leading educational provider in the Gaming industry, bringing a comprehensive and integrated solution for state of the art Casino-specific training that is easily expanded to include internal training, Title 31, Customer Service, Human Resources, Leadership training and OSHA Risk Safety programs.

As the makers of the Title 31 Compliance Training Program, Casino Essentials continues to lead the gaming industry with its compliance innovations. Our extensive experience in the gaming industry has yielded a view of casino regulatory compliance processes that extend beyond Title 31 compliance management alone. Our solution brings the industry's

best compliance training together into one comprehensive and integrated solution for complete FinCEN and IRS regulatory compliance training that meets the needs of our casino clients today and into the future.

Casino Essentials ability to bring value-added leveraging, by integrating exclusive relationships between the gaming industry's most advanced compliance products and service providers, allows Casino Essentials to deliver its vision to casino properties in any jurisdiction, anywhere in the world.

Casino Essentials has simplified and strengthened Casino employee education with a suite of innovative products and learning

services while saving their clients 30% or more, in training expenses, and continues to redefine gaming education for thousands of Casinos around the nation.

For additional information about Casino Essentials please email us at: info@casinoessentials.com or call us at: (877) 811-3534.

